

FINAL & REPORTS ISSUED**01 November 2018 to 31 January 2019**

ref		Risk	Revised potential days	Final Report Issued	2017/18 Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
120	Governance & Legal - Democratic Services	3	10	08/11/18	9.00	1	0	0	1	0	substantial
130	Governance & Legal - Legal Services	4	10	21/01/19	9.01	0	0	0	0	0	substantial
150	Housing & Health - Environmental Health – Enforcement	3	15	23/11/18	14.46	5	0	4	1	0	limited
						6	0	4	2	0	

PROGRESS ON THE AUDIT PROGRAMME 2018/19

ref	Audit	2018/19 days	qtr	IA Risk 2018/19	Started	Draft	Final	Days Taken	Status
01C	Corporate Counter Fraud	5	1	2				0.00	
02C	Corporate Equality & Diversity	35	1	4	04/04/18	17/10/18	25/10/18	46.44	final
03C	Corporate Health & Safety	10	3	4				0.00	
04KF	HR & Payroll (combined)	15	2	4				0.00	
05KF	Budgets	5		3				0.00	
06KF	Cash & Bank	5		3				0.00	
07KF	Contracts & Procurement	10		3				0.00	
08KF	Creditors	10		4				0.00	
09KF	Housing Rents	10	2	3	02/08/18	31/08/18	11/09/18	9.26	final
10KF	Income Fees & Charges	5	1	3	26/07/18	17/10/18	30/10/18	7.13	final
110	<i>Museum</i>	5	1	2				0.00	<i>c/f to 2019/20</i>
120	Democratic Services	10	2	3	14/09/18	31/10/18	08/11/18	9.00	final
130	Legal Services	10	1	4	20/04/18	07/01/19	21/01/19	9.05	final
140	Community Safety	10		3				0.00	

PROGRESS ON THE AUDIT PROGRAMME 2018/19

ref	Audit	2018/19 days	qtr	IA Risk 2018/19	Started	Draft	Final	Days Taken	Status
150	Environmental Health – Enforcement	15	2	3	21/08/18	17/10/18	23/11/18	14.46	final
160	Disabled Facilities Grants	10	4	3	17/09/18			0.57	testing
170	Empty Homes & Private Sector Housing	10	4	3	17/09/18			4.00	testing
180	Property Services - Planned Maintenance	15		3	23/10/18			5.68	testing
190	Property Services - Stock & Voids	5	2	4				0.00	c/f to 2019/20
200	Property Services – Stores	10	2	3	21/11/18			7.15	testing
210	ICT Security	10	4	3				0.00	
220	Building Control Service and Fees	10	3	3				0.00	
230	Planning - Development Management, Support & Advice	10		3				0.00	
240	Economic Development Service - business parks & communities	10	3	2				0.00	
250	Economic Development Service - Stansted Airport & LSP economic growth, jobs & prosperity	10	3	2				0.00	
260	Planning – Enforcement	15	1	3	19/04/18	30/07/18	02/10/18	9.80	final
270	Planning - Neighbourhood Plans	10		3				0.00	
280	Trade Waste and Income Generating Services	10	1 & 3	4	23/04/18			3.21	testing
TOTAL AUDIT DAYS		295						125.75	

